Team Profile for Brett Machtig Advisory Services

Brett Machtig Advisory Services

http://www.brettmachtig.com

Brett Machtig

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My Business Philosophy

Brett is a best-selling author with over 24 years of experience and is a current member of the Investors Capital Advisory Services Investment Policy Committee. His clients consist of retired individuals, key Fortune 500 executives, and hard-working families.

Securities offered through Investors Capital Corporation 800-949-1422. Investment advisory services offered through Machtig Advisory Services, LLC. Member FINRA/SIPC. Advisory Services offered through Investors Capital Advisory.

Our Education

Members of our firm or team hold the following numbers of degrees

BA/BS/Equivalent: 13

Other: GD

Other: 1

Financial Services

Number of Professionals and support staff:

Investment professionals: 7

Other professionals: 7

Support staff: 8

Our professionals currently hold the following active securities licenses:

- Series 7 - Sell any type of security, mutual fund, or variable annuity
- Series 6 - Sales limited to mutual funds, variable annuities, variable life

- Series 24 - Supervisor for Series 6s and 7s
- Series 63 - Sell securities across state lines
- Series 65 - Act as an investment advisor for fees
- Series 66 - Act as an agent of a Broker/Dealer and an investment advisor

We provide our CRD or IARD numbers upon request:

- Yes

You can view our compliance record for financial services and products by going to www.finra.org and entering our CRD or IARD numbers. If no record appears, go to our state's securities commissioner website and enter the same number. http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/index.htm

We provide the following types of financial planning services:

- Financial Planning
- Retirement Planning
- College Planning
- Charitable Planning
- Tax Planning
- Investment Strategy
- Current Plan Reviews

We provide the following types of non-discretionary investment advice and services:

- Investment Strategy & Policy
- Asset Allocation
- Money Manager Selection
    - Active
    - Passive
- Risk Management
- Performance Measurement
- Tax Efficient Strategies
- Current Portfolio Reviews

We market the following types of investment products:

- Mutual funds
- Exchange Traded Funds
- Securities
- Equity Real Estate

Our minimum asset requirement for investment services is:

- $100,000

Our minimum net worth requirement for our planning services is:

- $100,000

Tax & Accounting Services

Firm professionals and support staff:

Number of CPAs: 5

Number of accounting professionals: 2

Number of support staff: 8

All of our CPAs are members in good standing with the American Institute of Certified Public Accountants

We provide services for the following types of clients:

- Individuals & Families
- Businesses

We provide the following tax and accounting services to individuals and families:

- Tax Planning
- Tax Return Preparation
- IRS Representation

We provide additional tax and accounting services to individuals and families:

- Auditing
- Advisory Services

We provide the following tax and accounting services to businesses:

- Advisory Services
- Auditing
- Payroll Services

You can view our compliance record for tax and accounting services by going to our State Board of Accountancy's website:

- http://www.boa.state.mn.us/

Insurance Services

Firm professionals and support staff:

Number of insurance professionals: 2

Number of other professionals:: 5

Number of support staff: 6

We hold the following active insurance licenses:

- Life
- Annuity
- Long-Term Care
- Disability
- Health

You can view our compliance record for insurance services and products by going to our state's Insurance Commissioner website:

- http://www.naic.org/state_web_map.htm

We market the following types of insurance products:

- Life Insurance
- Annuities
- Long-term care
- Disability income
- Health
- Executive
- Business

Business Practices

Our method(s) of compensation are:

- Asset-based fee (Percent of assets)
- Fixed fee
- Hourly fee
- Commission (Investment products)
- Commission (Insurance products)

We provide services to remote clients over the telephone and Internet

We provide the following types of information services:

- Monthly reports
- Quarterly reports
- Annual reports
- Market Letters
- Newsletters

We generally respond to client calls or emails:

- The same business day (If call or email is received in the AM)

Certification:

We certify all of the information in our firm profile is accurate, complete, and up-to-date.

Firm: Brett Machtig Advisory Services

Most Recent Update: November 20, 2008